863 Enumeration and Chronology-Basic Bibliographic Unit (R)

Find the field definition and scope, indicators, subfield codes, and guidelines for applying content designations for field 863.

**Indicators and subfield codes**

**First Indicator**

**Field encoding level**

<table>
<thead>
<tr>
<th>Indicators</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>‡0</td>
<td>No information provided</td>
</tr>
<tr>
<td>‡3</td>
<td>Holdings level 3</td>
</tr>
<tr>
<td>‡4</td>
<td>Holdings level 4</td>
</tr>
<tr>
<td>‡5</td>
<td>Holdings level 4 with piece designation</td>
</tr>
</tbody>
</table>

**Second Indicator**

**Form of holdings**

<table>
<thead>
<tr>
<th>Indicators</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>‡0</td>
<td>No information provided</td>
</tr>
<tr>
<td>‡0</td>
<td>Compressed</td>
</tr>
<tr>
<td>‡1</td>
<td>Uncompressed</td>
</tr>
<tr>
<td>‡2</td>
<td>Compressed, use textual display</td>
</tr>
<tr>
<td>‡3</td>
<td>Uncompressed, use textual display</td>
</tr>
<tr>
<td>‡4</td>
<td>Item(s) not published</td>
</tr>
</tbody>
</table>

**Subfield Codes**

- ‡a-th: Enumeration
- ‡a: First level of enumeration (NR)
- ‡b: Second level of enumeration (NR)
- ‡c: Third level of enumeration (NR)
Field definition and scope

This field contains the enumeration and chronology of a basic bibliographic unit in the holdings of the reporting organization. A display may be generated from the contents of field 863 and the related field 853 (Captions and Pattern-Basic Bibliographic Unit) to which it is linked by subfield $8$ (Field link and sequence number).

A general description of the four types of holdings data fields, their relationship to each other, and their repeatability is given in the 853-878 Holdings Data-General Information section.

Guidelines for applying content designations
Indicators

First Indicator - Field encoding level
The first indicator position contains a value that indicates the level of specificity of the enumeration and chronology in the field. Values 3 and 4 reflect requirements of Levels 3 and 4 of Serial Holdings Statements (ANSI Z39.44) and Holdings Statements for Bibliographic Items (ANSI/NISO Z39.71). Values 3, 4, and 5 correspond to the level of specificity defined for the enumeration and chronology data at the record level by codes 3, 4, and 5 in Leader/17 (Encoding level).

/value - No information provided
Value / indicates that that level information is not provided.

853 2 0 ‡8 1 ‡a v. ‡i (year) ‡w a
863 0 ‡8 1.1 ‡a 5-12 ‡i 1884-1892 ‡z v.9, Jan. 1889 damaged.

3 - Holdings level 3
Value 3 indicates that the field contains summary enumeration and chronology information (that is, only at the first level of enumeration and chronology) for a basic bibliographic unit (field 863).

853 2 0 ‡8 1 ‡a v. ‡b no. ‡u 6 ‡v r ‡i (year) ‡j (month) ‡w m ‡x 01,07
863 3 0 ‡8 1.1 ‡a 113-123 ‡i 1923-1928

4 - Holdings level 4
Value 4 indicates that the field contains detailed enumeration and chronology information (that is, the first and all subsequent levels of enumeration and chronology).

853 2 2 ‡8 1 ‡a v. ‡b no. ‡u 4 ‡v r ‡i (year) ‡j (season) ‡w q ‡x 22
863 4 1 ‡8 1.1 ‡a 3 ‡b 1 ‡i 1944 ‡j 22

5 - Holdings level 4 with piece designation
Value 5 indicates that the field contains detailed enumeration and chronology information and an identifying number for the physical piece in subfield ‡p (Piece designation).

853 2 0 ‡8 1 ‡a v. ‡b no. ‡u 52 ‡v r ‡i (year) ‡j (month) ‡k (day) ‡w w ‡x 1215
863 5 1 ‡8 1.1 ‡a 1 ‡b 5 ‡i 1976 ‡j 01 ‡k 16 ‡p 1100026004

Second Indicator - Form of holdings
The second indicator position contains a value that indicates whether the enumeration and chronology in the field is expressed in a compressed or uncompressed (itemized) form and whether the 863 field or an 866 Textual Holdings field should be used for display purposes. Compressed means that the stated field is expressed in a summarized form
containing the enumeration and chronology of more than one part expressed as a range of holdings and comprising multiple holdings items. **Uncompressed** means that each holdings item is itemized, and thus recorded separately.

The value in the second indicator position does not indicate whether an 863 field contains the information required to allow the data to be compressed from an itemized report or expanded to an itemized report by computer algorithm. That information is indicated by the value in the first indicator position (Compressibility and Expandability) of the related 853 Captions and Pattern field to which an 863 field is linked by the linking number in subfield ‡8 (Field link and sequence number).

\[\text{\textit{\textbf{\$}}\text{- No information provided}}\]

Value \[\text{\textit{\textbf{\$}}}\] indicates that the form of the enumeration and chronology in the field is not specified.

**0 - Compressed**

Value 0 indicates that the enumeration and chronology in a basic bibliographic unit (field 863) is expressed in a compressed form and that a display of the data may be generated from the field.

```
853   2   0   ‡8 1 ‡a v. ‡b no. ‡u 4 ‡v r ‡i (year) ‡j (season) ‡w q ‡x 21
863   3   0   ‡8 1.1 ‡a 1-10 ‡i 1943-1952 ‡z bound
```

**1 - Uncompressed**

Value 1 indicates that the enumeration and chronology in the field is expressed in an itemized form and that a display of the data may be generated from the field.

```
853   2   0   ‡8 1 ‡a v. ‡b pt. ‡u 3 ‡v c ‡i (year) ‡j (month) ‡w t ‡x 01
863   4   1   ‡8 1.1 ‡a 3 ‡b 2 ‡i 1974 ‡j 05
```

**2 - Compressed, use textual display**

Value 2 indicates that the enumeration and chronology in a basic bibliographic unit (field 863) is expressed in a compressed form but that a display of the data may not be generated from the field. Instead, the 866 Textual Holdings field is used to generate a display.

```
853   0   1   ‡8 1 ‡a fasc. ‡w x
863   4   0   ‡8 1.1 ‡a 1-28
863   4   2   ‡8 1.2 ‡a 30-40
863   4   0   ‡8 1.3 ‡a 42-124 ‡z some missing
866   3   1   ‡8 1.2 ‡a fasc.30-40 ‡z some missing
[The 866 field replaces the second 863 field only.]
```

**3 - Uncompressed, use textual display**

Value 3 indicates that the enumeration and chronology in the field is expressed in an itemized form but that a display of
the data may not be generated from the field. Instead, the 866 Textual Holdings field is used to generate a display.

853  2  0  †8 1 †a (year) †w a
863  3  †8 1.1 †a 1974
863  3  †8 1.2 †a 1976
863  3  †8 1.3 †a 1978
863  3  †8 1.4 †a 1979
863  3  †8 1.5 †a 1981
966  3  1  †8 0 †a 1974-1981 †z Some issues lost

4 - Item(s) not published

Value 4 indicates that the enumeration and chronology in a basic bibliographic unit (field 863) represents nonpublished parts of the bibliographic item.

853  0  0  †8 1 †a (year)
863  4  0  †8 1.1 †a 1900-1915
863  4  4  †8 1.2 †a 1916
863  4  0  †8 1.3 †a 1917-1940

[A break in the publication pattern is indicated in the second 863 field.]

Unpublished parts may also be indicated by code n (Nongap break) in subfield †w (Gap or nongap break indicator). The use of both value 4 and subfield †w in the same Enumeration and Chronology field is restricted to instances of consecutive unpublished parts and parts not in the holdings of the reporting organization.

853  2  0  †8 1 †a (year)
863  4  0  †8 1.1 †a 1900-1915 †w n
863  4  0  †8 1.2 †a 1917-1940

Subfields

†a-†h - Enumeration

Subfields †a-†h contain the levels of enumeration that either appear on an item or that are used by the reporting organization to record the receipt of an item. These enumeration subfields are correlated with the enumeration caption subfields †a-†h in the 853 Captions and Pattern field linked to the 863 field, although the same subfields need not always be present. Full correlation of the enumeration level and the caption subfields †a-†h in linked 853/863 fields is recommended in OCLC local holdings records. If there is no enumeration on the item for some level, enumeration may
be invented and enclosed in brackets ([ ]).

When alternative numbering schemes are applicable to an item, subfields ‡g and ‡h contain the alternative enumeration. If more than two levels of alternative enumeration are required, an 866 Textual Holdings field is used.

When only chronology is used on an item (that is, the item carries no enumeration), the chronology is contained in the relevant enumeration subfield (‡a-‡h) instead of the chronology subfields (‡i-‡m).

853 0 3 ‡8 1 ‡a (year)
863 3 0 ‡8 1.1 ‡a 1964-1981
[An annual publication identified only by year.]

‡a - First level of enumeration
Subfield ‡a contains the highest level of enumeration. The designation for an unnumbered (for example, new ser.) or a numbered (for example, ser. 5) series is considered caption data and is contained in subfield ‡a (First level of enumeration) of the related 853-855 Captions and Pattern field.

853 0 1 ‡8 1 ‡a v.
853 0 1 ‡8 2 ‡a new ser.:v.
863 4 0 ‡8 1.1 ‡a 1-25
863 4 0 ‡8 2.1 ‡a 1-12
[Holdings consist of v.1 - v.25 and new series v.1 - v-12.]
853 0 1 ‡8 1 ‡a ser.5:v. ‡i (year)
863 4 0 ‡8 1.1 ‡a 24-33 ‡i 1969-1978
[Holdings consist of series 5, v.24 - v.33, 1969-1978.]

When the enumeration consists of the number of units held followed by a term describing the units, the whole phrase is contained in the related 863 subfield.

853 0 3 ‡8 1 ‡a (unit)
863 1 ‡8 1.1 ‡a 1 score
853 0 3 ‡8 1 ‡a (unit)
863 1 ‡8 1.1 ‡a ca. 1000 items

‡b - Second level of enumeration
Subfield ‡b contains the second level of enumeration.

853 0 3 ‡8 1 ‡a v. ‡b no.
863 4 1 ‡8 1.1 ‡a 3 ‡b 1

**‡c - Third level of enumeration**
Subfield ‡c contains the third level of enumeration.

853 2 0 ‡8 1 ‡a v. ‡b no. ‡u 2 ‡v r ‡c pt. ‡u 2 ‡v r ‡i (year) ‡j (month) ‡k (day) ‡w j0101, 0120, 0210, 0301, 0320, 0410, 0501, 0520, 0610, 0701, 0720, 0810, 0901, 0920, 1010, 101, 1120, 1210

863 4 1 ‡8 1.1 ‡a 609 ‡b z ‡c 1 ‡i 2004 ‡j July ‡k 10

**‡d - Fourth level of enumeration**
Subfield ‡d contains the fourth level of enumeration.

853 2 0 ‡8 1 ‡a ed. ‡b no. ‡u 3 ‡v r ‡c sect. ‡u 4 ‡v r ‡d pt. ‡u 2 ‡v r ‡z bcLatn ‡i (year) ‡j (month) ‡k (day) ‡w s ‡y pd01,15

863 4 1 ‡8 1.1 ‡a 2 ‡b 1 ‡c 1 ‡d A ‡i 2000 ‡j 01 ‡k 1

**‡g - Alternative numbering scheme, first level of enumeration**
Subfield ‡g contains the highest level of an alternative numbering scheme.

853 0 3 ‡8 1 ‡a v. ‡b no. ‡g no. ‡i (year) ‡j (month)

863 4 0 ‡8 1.1 ‡a 1-3 ‡g 1-36 ‡i 1977-1979

863 4 0 ‡8 1.2 ‡a 4 ‡b 1-2 ‡g 37-38 ‡i 1980 ‡j 01-02

**‡h - Alternative numbering scheme, second level of enumeration**
Subfield ‡h contains the second level of an alternative numbering scheme.

853 0 2 ‡8 1 ‡a v. ‡b no. ‡g (letter) ‡h Bd. ‡u 12 ‡v r ‡i (year) ‡j (month) ‡w m ‡x 01

863 4 0 ‡8 1.1 ‡a 7 ‡b 1-3 ‡g B ‡h 21-23 ‡i 1981 ‡j 01

**‡i-‡m - Chronology**
Subfields ‡i-‡m contain the hierarchical levels of chronology that either appear on an item or that are used by the reporting organization to record the receipt of an item. These chronology subfields are correlated with subfields ‡i-‡m in the 853 Captions and Pattern field linked to the 863. Full correlation of the chronology level and the caption subfields ‡i-‡m in linked 853/863 fields is recommended in OCLC local holdings records. If an applicable chronology level is lacking on the item, chronology may be invented and enclosed in brackets ([ ]).

Months and seasons may be expressed either in natural language or by the following codes:
<table>
<thead>
<tr>
<th>MONTH</th>
<th>SEASON</th>
</tr>
</thead>
<tbody>
<tr>
<td>01-12</td>
<td>- Month</td>
</tr>
<tr>
<td>21</td>
<td>- spring</td>
</tr>
<tr>
<td>22</td>
<td>- summer</td>
</tr>
<tr>
<td>23</td>
<td>- autumn</td>
</tr>
<tr>
<td>24</td>
<td>- winter</td>
</tr>
</tbody>
</table>

A month code of less than two digits is right justified and the unused position contains a zero.

Days of the month are recorded as two digits in the format 01-31.

When an alternative chronology scheme is applicable to an item, subfield ‡m contains the alternative chronology. If more than one level of alternative chronology is required, an 866 Textual Holdings field is used.

When only chronology is used on an item (that is, the item carries no enumeration), the chronology is contained in the relevant enumeration subfield (‡a-‡h) instead of the chronology subfields (‡i-‡m).

‡i - First level of chronology
Subfield ‡i contains the highest level of chronology.
853 2 2 ‡8 1 ‡a v. ‡b no. ‡u 17 ‡v r ‡i (year) ‡j (month) ‡k (day) ‡w w ‡x 01,05,09
863 3 0 ‡8 1.1 ‡a 58-60 ‡i 1898

‡j - Second level of chronology
Subfield ‡j contains the second level of chronology.
853 0 3 ‡8 1 ‡a v. ‡b no. ‡i (year) ‡j (season)
863 3 0 ‡8 1.1 ‡a 1-10 ‡i 1943-1952
863 4 1 ‡8 1.2 ‡a 11 ‡b 1 ‡i 1953 ‡j 21

‡k - Third level of chronology
Subfield ‡k contains the third level of chronology.
853 2 0 ‡8 1 ‡a v. ‡b no. ‡u 52 ‡v r ‡i (year) ‡j (month) ‡k (day) ‡w w ‡x 1215
863 4 1 ‡8 1.1 ‡a 1 ‡b 1 ‡i 1975 ‡j 12 ‡k 19
863 4 1 ‡8 1.2 ‡a 1 ‡b 5 ‡i 1976 ‡j 01 ‡k 16
‡l - Fourth level of chronology
Subfield ‡l contains the fourth level of chronology.

853 2 0 ‡8 1 ‡a v. ‡b ptie ‡u 4 ‡v r ‡c no ‡u 13 ‡v r ‡d fasc. ‡u 2 ‡v r ‡i (year) ‡j (semester) ‡k (month) ‡l (day) ‡w w ‡x 01
863 4 1 ‡8 1.1 ‡a 1 ‡b 1 ‡c 1 ‡d 1 ‡i 1980 ‡j 1 ‡k 01 ‡l 04

‡m - Alternative numbering scheme, chronology
Subfield ‡m contains the alternative chronology scheme.

‡n - Converted Gregorian year
Subfield ‡n contains the Gregorian-calendar conversion of a year that is recorded in a non-Gregorian scheme in a chronology-level subfield (‡i-‡m).

‡o - Title of unit
Subfield ‡o contains the title of the unit (for example, Annual buyer's guide) in the enumeration hierarchy when it has no associated sequencing number. When used, subfield ‡o immediately follows the enumeration subfield to which it applies. If the title of the unit applies to the bibliographic entity as a whole, rather than to a portion of its enumeration, field 844 (Name of unit) is used.

‡p - Piece designation
Subfield ‡p contains an identification of a piece. The designation may be an identification number such as a bar code number or an accession number.

The piece designation may be preceded by an uppercase B or U to specify whether the piece is bound or unbound. When no piece designation exists, a double slash (//) may be recorded in subfield ‡p to signify that the holdings relate to a piece.

853 0 1 ‡8 1 ‡a v. ‡w a
863 4 1 ‡8 1.1 ‡a 1 ‡p //
[The holdings consist of a physical piece (v. 1) that has no piece identification number.]

When the piece designation applies to the entire holdings record, it is recorded in subfield ‡p (Piece designation) of field 852 (Location). When the piece designation applies to the enumeration and chronology recorded in field 863 it is recorded there. When the piece designation applies only at the item level, it is recorded in subfield ‡p of the item information field 876-878.

‡q - Piece physical condition
Subfield ‡q contains a description of an unusual characteristic of the physical condition of the piece, for example, water
spots, ink bleeding.

853 0 1 ‡8 1 ‡a v.

863 4 1 ‡8 1.1 ‡a 6 ‡p 7312986 ‡q water damage

[Volume 6 (accession no. 7312986) is water damaged.]

When the piece physical condition applies to the entire holdings record, it is recorded in subfield ‡q (Piece physical condition) of field 852 (Location). Physical condition information for making preservation decisions is contained in field 583 (Action Note).

‡s - Copyright article-fee code

Subfield ‡s contains a copyright article-fee code. A description of the copyright article-fee code is given in field 018 (Copyright Article-Fee Code) of OCLC Bibliographic Formats and Standards.

‡w - Break indicator

Subfield ‡w contains a one-character code that indicates whether a break in the enumeration and chronology contained in multiple 863 fields is due either to published issue(s) lacking in the collection or to unpublished parts or a lack of continuity in the enumeration and chronology of the parts. Subfield ‡w is contained in the Enumeration and Chronology field that represents the holdings that immediately precedes the break. The use of subfield ‡w requires a new field 863 in which the enumeration and chronology following the break is recorded.

g - Gap break

Code g indicates that part(s) are lacking in the holdings of the reporting organization. Code g is also used when there is doubt as to the cause of the break or when the cause is not known.

853 0 3 ‡8 1 ‡a Bd. ‡i (year)

863 4 0 ‡8 1.1 ‡a 1-19 ‡i 1911-1920/1921 ‡w g

863 4 1 ‡8 1.2 ‡a 22 ‡i 1924/1925

[A gap occurs in the holdings between v.19 and v.22.]

n - Nongap break

Code n indicates that the break is due to unpublished part(s) or a lack of continuity in the enumeration and chronology of the parts.

853 2 0 ‡8 1 ‡a (year)

863 4 0 ‡8 1.1 ‡a 1900-1915 ‡w n

863 4 0 ‡8 1.2 ‡a 1917-1940

[The holdings record contains no Enumeration and Chronology field for the nonpublished pieces. The nongap break is indicated by the code in subfield ‡w in the 863 field immediately preceding the break.]
853 2 0 ‡8 1 ‡a (year)
863 4 0 ‡8 1.1 ‡a 1900-1915
863 4 4 ‡8 1.2 ‡a 1916
863 4 0 ‡8 1.3 ‡a 1917-1940
[A break in the publication pattern is indicated by the second indicator value in an Enumeration and Chronology field.]
853 2 0 ‡8 1 ‡a v. ‡i (year)
863 4 0 ‡8 1.1 ‡a 1-3 ‡i 1958-1960 ‡w g
863 4 0 ‡8 1.2 ‡a 5-7 ‡i 1962-1965 ‡w g
863 4 4 ‡8 1.3 ‡a 9-10 ‡i 1967-1968 ‡w g
863 4 0 ‡8 1.4 ‡a 13-14 ‡i 1971-1972
[The reporting organization lacks volumes 4, 8, and 11-12. The third Enumeration and Chronology field reflects both a nongap (v. 9-10) and a gap break (v. 11-12).]

‡x - Nonpublic note
Subfield ‡x contains a note relating to all or part of the field. The note is not written in a form that is adequate for public display. A note for public display is contained in subfield ‡z (Public note).

‡z - Public note
Subfield ‡z contains a note relating to all or part of the field. The note is written in a form that is adequate for public display. It contains information that cannot be contained in other subfields. For example, it may be used to specifically record missing issues or numbering irregularities.

853 3 3 ‡8 1 ‡a v. ‡i (year)
863 3 0 ‡8 1.1 ‡a 1-71 ‡i 1936-1971
863 3 0 ‡8 1.2 ‡a 72 ‡i 1972 ‡z Apr.28 missing
A note not for public display is contained in subfield ‡x (Nonpublic note).

‡8 - Field link and sequence number
Subfield ‡8 contains data that identifies linked holdings data fields and may also propose a sequence for the linked fields. **Subfield ‡8 is required in 863 fields in OCLC local holdings records.**

The structure and syntax for subfield ‡8 in the 863 field is: ‡8<linking number>.<sequence number>

The linking number is the first data element used in subfield ‡8 in the 863 field. It is a variable-length whole number that occurs in subfield ‡8 in all 863 fields that are to be linked to an 853 field. Fields with the same linking number are considered linked. A linking number of "0" (zero) is not used in this field.
The sequence number is separated from the linking number by a period "." and is required in 863 fields in OCLC local holdings records. It is a variable-length whole number that may be used to indicate the relative order for display of the linked fields (lower sequence numbers displaying before higher ones).

Subfield $8$ is always the first subfield in the 863 field.